

Form 1449*

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT
(Use several sheets if necessary)

Atty. Docket No. 3616.85-US-01
Serial No. 08/130,298
Applicant
DICK J. SIEVERT et al.
Filing Date 10/17/93
Group 3501

U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (If Appropriate)
	Patent Appln Serial No. 07/412,272		Sievert			9/28/89
	Patent Appln Serial No. 07/413,400		Woolford			9/27/89
	Patent Appln Serial No. 07/413,050		Woolford			9/27/89
	1,472,917	11/6/23	Laird			11/8/22
	1,993,291	3/5/35	C. Vermont			3/5/33
	2,149,957	3/7/39	O.H. Dawson			5/16/38
	3,667,186	6/6/72	Kato	52	594	8/17/70
	3,981,038	9/21/76	Vidal	14	26	6/26/75
	4,051,570	10/4/77	Hilfiker	14	26	12/27/76

FOREIGN DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
	2 409 351	6/15/79	France	E02D	29/02	No
	1 385 207	2/1975	UK			
	2 127 872	4/1984	UK			
	2 213 095	8/9/89	UK			

OTHER DOCUMENTS

Initial	(Including Author, Title, Date, Pertinent Pages, Etc.)	**Examiner
	Technical Data Sheet "AZTECH" Wall System" Anchor Block Co./Oscar Roberts	
	Technical Data Sheet for "DIAMOND" Wall System" Anchor Block Co./Oscar	

Examiner

Date Considered

*Substitute Disclosure Statement Form (PTO-1449)

**EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

Form 1449*

Atty. Docket No. 3626785-US-01
Serial No. 08/130,298
Applicant
WILLIAM J. SIEVERT et al.
Filing Date 10/1/93
Group 3501

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U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (If Appropriate)
	4,324,505	4/13/82	Hammett	405	60	9/7/79
	4,426,176	1/17/84	Terada	405	285	8/10/81
	4,449,857	5/22/84	Davis	405	286	10/26/81
	4,616,959	10/14/86	Hilfiker	405	286	5/25/85
	4,661,023	4/28/87	Hilfiker	405	262	12/30/85
	4,684,294	8/4/87	O'Neill	405	286	1/15/86
	4,728,227	3/1/88	Wilson et al.	405	284	3/10/86
	4,824,293	4/25/89	Brown et al.	405	284	4/6/87
	4,884,921	12/5/89	Smith	405	286	9/15/88

FOREIGN DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

OTHER DOCUMENTS

Initial	(Including Author, Title, Date, Pertinent Pages, Etc.)	**Examiner
	DIAMOND™ Installation Guide, American Masonry Products (circa. Jan. 1985)	
	Drawing, "Revetment Block", Columbia Machine, Inc. (1/6/78)	
	Rockwood Classic Retaining Wall System, Shaping the World Around Us	
	Installation & Design Manual, Rockwood Classic, The one-piece easy to use system	

Examiner

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Atty. Docket No.	Serial No.
3616.85-US-01	08/130,298
Applicant	
Dick J. Sievert et al.	
Filing Date	Group
10/1/93	1305

U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (If Appropriate)

FOREIGN DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner

Initial	
	<u>Besser, Technical Data for the Blockmaker</u> , Appendix IIa, reprints from Besser Block Fall 1982.
	<u>A Review of Paver Production On Besser Block Machines</u> , by Lucas E. Pfeiffenberger, pp. 35-37.
	<u>Besser, Concrete Paving Stones</u> , Manual No. 8601 - Section 5.
	<u>Bulletin 7062</u> , July 1, 1994.
	<u>Single-element retaining wall system is ideal for block producers</u> , by Robert L. Hubler, Jr., Appendix IIb, September 1983.
	<u>High Quality Pavers From a Besser V3-12 Block Machine</u> , by Lucas E. Pfeiffenberger, pp. 33-34
	<u>Florida block and r/m plant relies on admixtures</u> , Krehling Industries of Naples uses admixtures to good advantage
	<u>Besser Parts & Equipment Catalog</u>

Examiner

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3616.85-US-01	08/130,298
Applicant	
Dick J. Sievert et al.	
Filing Date	Group
October 1, 1993	3501

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U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (If Appropriate)
	4,990,032	02/05/91	Smith	405	286	01/30/90

FOREIGN DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	107 338	07/19/17	Great Britain				
	970 595	09/23/64	Great Britain				
	548 462	12/12/85	Australia				
	90 15 196	02/21/91	Germany				
	2 476 179	08/21/91	France				
	0 490 534	06/17/92	Europe				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

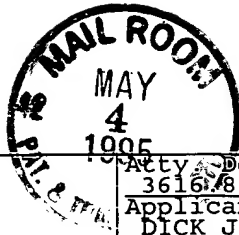
**Examiner Initial	
	PCT International Search Report (5 pages)

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Sheet 1 of 1

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3616785-US-01	08/130,298
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DICK J. SIEVERT et al.	
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10/1/93	3501

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						Yes	No

FOREIGN DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
		341,611		Italy			

OTHER DOCUMENTS

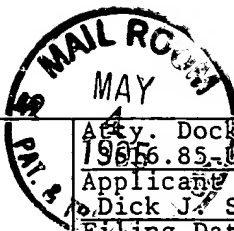
(Including Author, Title, Date, Pertinent Pages, Etc.)				**Examiner
Initial				

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1985.85-US-01	08/130,298
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10/1/93	

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U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	Des. 237,704	11/18/75	Lane	D18	2R	03/27/72
	Des. 279,030	05/28/85	Risi et al.	D25	80	09/08/82
	Des. 280,024	08/06/85	Risi et al.	D25	80	09/08/82
	Des. 284,109	06/03/86	Seal, Jr.	D26	72	04/11/83
	Des. 295,788	05/17/88	Forsberg	D25	113	02/11/87
	Des. 295,790	05/17/88	Forsberg	D25	116	10/01/86
	Des. 296,007	05/31/88	Forsberg	D25	116	05/27/86
	Des. 296,365	06/21/88	Forsberg	D25	116	09/18/86
	Des. 297,464	08/30/88	Forsberg	D25	114	06/02/86

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
	22397/85	06/20/85	Australia			
	52765/86	08/21/86	Australia			
	80775/87	04/07/88	Australia			
	Des. 47,747	01/05/81	Canada			
	Des. 50,020		Canada			
	Des. 51,160	04/11/83	Canada			

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
	Retaining Wall Block Pictures (date unknown)
	Columbia Machine Mold Descriptions (date unknown)
	Kawano Cement Brochure (date unknown)

Examiner

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**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	Des. 297,574	09/06/88	Forsberg	D25	114	06/02/86
	Des. 297,767	09/20/88	Forsberg	D25	58	05/11/87
	Des. 298,463	11/08/88	Forsberg	D25	114	06/08/87
	Des. 299,067	12/20/88	Forsberg	D25	58	04/02/87
	Des. 299,069	12/20/88	Risi et al.	D25	157	01/13/86
	Des. 300,253	03/14/89	Forsberg	D25	114	06/06/88
	Des. 300,254	03/14/89	Forsberg	D25	114	06/06/88
	Des. 301,064	05/09/89	Forsberg	D25	116	05/14/86
	Des. 311,444	10/16/90	Forsberg	D25	113	11/08/85

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	Des. 51,313	05/09/83	Canada				
	Des. 51,794	09/12/83	Canada				
	Des. 62,875	04/06/89	Canada				
	Des. 63,365	05/30/89	Canada				
	Des. 63,366	05/30/89	Canada				
	Des. 65,896	04/17/90	Canada				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

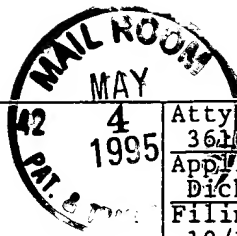
**Examiner Initial	
	<u>Diamond Block</u> Test Report to University of Wisconsin, Platteville (1990)
	<u>Versa Lock</u> Product Literature (date unknown)
	<u>Johnson Block</u> Product Literature (date unknown)

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U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	Des. 316,904	05/14/91	Forsberg	D25	114	11/21/88
	Des. 317,048	05/21/91	Forsberg	D25	114	11/21/88
	Des. 317,209	05/28/91	Forsberg	D25	114	12/05/88
	126,547	05/07/1872	Hickcox			05/07/1872
	228,052	05/25/1880	Frost			10/16/1879
	468,838	02/16/1892	Steiger			11/13/1890
	566,924	09/01/1896	Morrin			04/22/1896
	810,748	01/23/06	Haller et al.			02/21/05
	831,077	09/18/06	Johnson			12/02/05

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	Des. 66,760	08/28/90	Canada				
	Des. 67,904	01/30/91	Canada				
	338,139	12/26/33	Canada				
	531,354	10/09/58	Canada				
	941,626	02/12/74	Canada				
	1,040,452	10/17/78	Canada				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
	Orco Block Co. <u>Split Face Block</u> Product Literature (date unknwn)
	<u>Handy-Stone Retaining Wall System</u> Product Literature (date unknwn)
	<u>Aztech Wall System</u> Installation Guide, Block Systems, Inc. (1989)

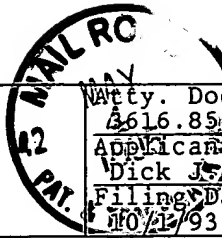
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U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	847,476	03/19/07	Hodges			01/31/06
	884,354	04/14/08	Bertrand			07/12/07
	916,756	03/30/09	Grant			12/06/07
	1,002,161	08/29/11	Lambert			10/7/10
	1,092,621	04/07/14	Worner			05/17/11
	1,219,127	03/13/17	Marshall			06/30/16
	1,222,061	04/10/17	Bartells			01/10/16
	1,248,070	11/27/17	Buente			06/07/16
	1,285,458	11/19/18	Strunk			03/25/16

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	1,065,154	10/30/79	Canada				
	1,182,295	02/12/85	Canada				
	1,188,116	06/04/85	Canada				
	1,194,703	10/08/85	Canada				
	1,197,391	12/03/85	Canada				
	1,204,296	05/13/86	Canada				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

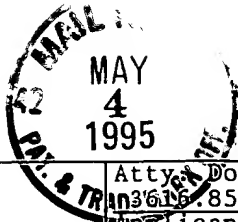
**Examiner Initial	
	EZ Wall Systems Product Literature, Rockwood Retaining Wall Systems, Inc. (date unknown)
	Windsor Stone Product Literature, Block Systems, Inc. (1991)
	Diamond Wall Systems: The Cutting Edge, Anchor Block Co. (date unknown)

Examiner

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Dick J. Sievert et al.

Filing Date
10/1/93

Group

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U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	1,287,055	12/10/18	Lehman			03/15/16
	1,330,884	02/17/20	McDermott			05/04/17
	1,414,444	05/02/22	Straight			06/10/20
	1,419,805	06/13/22	Bigler			03/03/20
	1,456,498	05/29/23	Binns			07/18/21
	1,465,608	08/21/23	McCoy			03/18/22
	1,557,946	10/20/25	Smith			03/07/25
	1,695,997	12/18/28	Evers et al.			04/02/25
	1,727,363	09/10/29	Bone			04/25/28

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	*2,012,286	09/15/91	Canada				
	0 039 372	11/11/81	EPO				
	0 130 921	01/09/85	EPO				
	0 170 113	07/08/85	EPO				
	0 215 991	09/13/85	EPO				
	0 322 668	12/15/88	EPO				
	0 362 110	04/04/90	EPO				

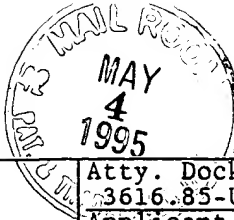
OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
	Various Diamond Wall System 4 and 4.4 Concrete Masonry Units Tech Spec's, Anchor Block (1988, 1989)
	Modular Concrete Block, the Besser Co. (date unknown)
	Paving Stone: New Lock With Old World Charm, the Besser Co. (date unknown)
Examiner	Date Considered

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	1,733,790	10/29/29	Gilman			03/16/25
	*1,751,028	03/18/30	Casell et al			01/23/28
	1,773,579	08/19/30	Flath			11/18/26
	1,907,053	05/02/33	Flath			05/07/31
	2,011,531	08/13/35	Tranchell	94	11	08/28/31
	2,034,851	03/24/36	Wichmann	61	47	07/19/34
	2,094,167	09/28/37	Evers	61	47	08/14/36
	2,113,076	04/05/38	Bruce	20	3	06/07/33
	2,121,450	06/21/38	Sentrop	25	41	02/28/36
	2,197,960	04/23/40	Alexander	61	47	06/08/38

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	392.474	11/27/08	France				
	1.360.872	04/05/63	France				
	2.228.900	05/08/74	France				
	2 243 304	09/09/74	France				
	2,343 871	05/05/76	France				
	2 422 780	12/01/78	France				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
	<u>Keystone International Compac Unit Product Literature (1992)</u>
	<u>Keystone Retaining Wall Systems Product Literature (1992)</u>
	<u>Garden Wall Product Literature (1991)</u>

Examiner

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	2,219,606	10/29/40	Schoick	25	158	03/13/39
	2,235,646	03/18/41	Schaffer	72	101	12/23/36
	2,313,363	03/09/43	Schmitt	61	39	07/02/40
	2,371,201	03/13/45	Wells	72	38	03/08/41
	2,570,384	10/09/51	Russell	25	121	08/16/48
	2,882,689	04/21/59	Huch et al.	61	35	12/18/53
	2,963,828	12/13/60	Belliveau	50	425	06/13/57
	3,036,407	05/29/62	Dixon	50	443	11/12/57
	3,204,316	09/07/65	Jackson	25	121	10/05/62

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	2 463 237	08/09/79	France				
	2,465 032	09/07/79	France				
	18 11 932	06/01/78	Germany				
	22 59 654	06/12/74	Germany				
	27 19 107	11/16/78	Germany				
	27 55 833	07/20/78	Germany				

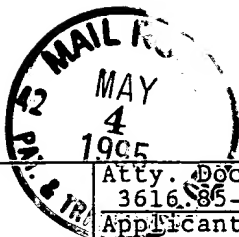
OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
	Standard Load Bearing Wall Tile Literature (1924).
	Anchor Autoclave Product Literature (1990).

Examiner	Date Considered
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**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	3,274,742	09/27/66	Paul, Jr. et al.	52	245	02/07/63
	3,378,885	04/23/68	Dart	18	5	04/23/64
	3,390,502	07/02/68	Carroll	52	424	07/15/66
	3,430,404	03/04/69	Muse	52	439	03/20/67
	3,488,964	01/13/70	Kubo	61	37	11/27/67
	3,557,505	01/26/71	Kaul	52	275	08/12/68
	3,631,682	01/04/72	Hilfiker et al.	61	47	01/26/70
	3,754,499	08/28/73	Heisman et al.	100	93	09/27/71
	3,783,566	01/08/74	Nielson	52	232	08/10/72

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	34 01 629	07/26/84	Germany				
	336	02/01/1871	Great Britain				
	536,434		Great Britain				
	537,153	06/11/41	Great Britain				
	1 385 207	01/10/75	Great Britain				
	1 386 088	03/05/75	Great Britain				

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Form 1449*

Attorney Docket No.	Serial No.
3616.85-US-01	08/130,298
Applicant Dick J. Sievert et al.	
Filing Date	Group
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**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	3,925,994	12/16/75	Broms et al.	61	39	06/20/74
	3,932,098	01/13/76	Huber et al.	425	412	12/18/74
	3,936,987	02/10/76	Calvin	52	309	01/13/75
	3,936,989	02/10/76	Hancock	52	593	02/10/75
	3,953,979	05/04/76	Kurose	61	39	09/06/74
	3,995,434	12/07/76	Kato et al.	61	4	07/29/75
	4,001,988	01/11/77	Riefler	52	125	01/09/75
	4,016,693	04/12/77	Warren	52	405	08/22/75
	4,023,767	05/17/77	Fontana	249	52	06/15/76

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**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No	
	1 477 139	06/22/77	Great Britain				
	2 091 775	08/04/82	Great Britain				
	2 127 872	04/18/84	Great Britain				
	2 213 095	08/09/89	Great Britain				
	456776	04/21/50	Italy				
	459942	10/11/50	Italy				
	709599	06/22/66	Italy				

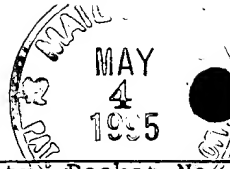
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**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	4,067,166	01/10/78	Sheahan	52	593	06/12/75
	4,098,040	07/04/78	Riefler	52	125	11/09/76
	4,107,894	08/22/78	Mullins	52	593	05/23/77
	4,110,949	09/05/78	Cambiuzzi et al.	52	437	06/28/77
	4,124,961	11/14/78	Habegger	52	592	06/14/77
	4,126,979	11/28/78	Hancock	52	594	08/04/77
	4,132,492	01/02/79	Jenkins	404	119	02/13/78
	4,175,888	11/27/79	Ijima	405	31	01/12/78
	4,186,540	02/05/80	Mullins	52	593	05/8/78

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						Yes	No
	678,160		Soviet Union				
	205452	09/16/39	Switzerland				
	CH 657 172	08/15/86	Switzerland				
	CH 669 001	02/15/89	Switzerland				

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**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (If Appropriate)
	4,187,069	02/05/80	Mullins	425	470	10/02/78
	4,190,384	02/26/80	Neumann	405	284	08/09/78
	4,193,718	03/18/80	Wahrendorf et al.	405	286	07/10/78
	4,207,718	06/17/80	Schaaf et al.	52	585	03/08/79
	4,208,850	06/24/80	Collier	52	285	05/11/78
	4,214,655	07/29/80	Bernham et al.	198	374	12/09/77
	4,218,206	08/19/80	Mullins	425	253	10/02/78
	4,228,628	10/21/80	Schlomann	52	438	09/01/78
	4,229,123	10/21/80	Heinzmann	405	273	01/16/79
	4,262,463	04/21/81	Hapel	52	98	12/27/78
	4,288,960	09/15/81	Auras	52	604	08/01/77
	4,312,606	01/26/82	Sarikelle	405	286	03/21/80
	4,314,431	02/09/82	Rabassa	52	259	12/31/79
	4,335,549	06/22/82	Dean, Jr.	52	98	12/01/80
	4,337,605	07/06/82	Tudek	52	293	07/18/80
	4,380,409	04/19/83	O'Neill	405	273	08/17/81
	4,384,810	05/24/83	Neumann	405	284	05/21/81
	4,426,815	01/24/84	Brown	52	100	11/10/80

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**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (If Appropriate)
	4,454,699	06/19/84	Strobl	52	585	03/15/82
	4,470,728	09/11/84	Broadbent	405	284	01/04/82
	4,490,075	12/25/84	Risi et al.	405	273	08/16/82
	4,496,266	01/29/85	Ruckstuhl	404	41	12/06/82
	4,512,685	04/23/85	Hegle	405	284	09/08/91
	4,524,551	06/25/85	Scheiwiller	52	98	03/08/82
	4,572,699	02/25/86	Rinninger	404	42	12/16/83
	4,640,071	02/03/87	Haener	52	286	07/12/85
	4,651,485	03/24/87	Osborne	52	284	09/11/85
	4,658,541	04/21/87	Haile	47	83	02/05/86
	4,660,342	04/28/87	Salisbury	52	358	10/04/85
	4,671,706	06/09/87	Giardini	405	286	12/11/85
	4,711,606	12/08/87	Leling et al.	405	286	01/31/86
	4,726,567	02/23/88	Greenberg	256	19	09/16/86
	4,784,821	11/15/88	Leopold	264	510	06/24/87
	4,802,320	02/07/89	Forsberg	52	585	11/03/87
	4,802,836	02/07/89	Whissell	425	253	07/13/87
	4,815,897	03/28/89	Risi et al.	405	284	12/21/84
	4,825,619	05/02/89	Forsberg	52	562	05/26/87
	4,860,505	08/29/89	Bender	52	98	05/26/88
	4,896,999	01/30/90	Ruckstuhl	405	286	12/01/88
	4,909,010	03/20/90	Gravier	52	609	12/17/87
	4,909,717	03/20/90	Pardo	425	138	04/10/89
	4,914,876	04/10/90	Forsberg	52	169	12/20/88

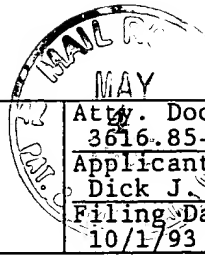
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	5,017,049	05/21/91	Sievert	405	284	07/26/90
	5,031,376	07/16/91	Bender et al.	52	609	12/09/88
	5,044,834	09/03/91	Janopaul, Jr.	405	284	07/26/90
	5,062,610	11/05/91	Woolford et al.	249	52	06/07/90
	5,158,132	10/27/92	Guillemot	165	30	03/19/90

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